The Entrust Group
Self-Directed Retirement Plan Services

Transfer Form

Entrust Arizona, LLC
20860 N. Tatum Blvd., Ste 240
Phoenix, AZ 85050
(P) 480-306-8404 (F) 480-306-8408

Use this form to:

- **Move assets directly from one custodian to another custodian without taking receipt of the funds.**
- ***Please do not use this form to make a direct rollover.***

If you wish to liquidate any assets as part of your transfer to Entrust, ensure that the liquidation process is completed PRIOR to completing this form. Otherwise, the transfer of your funds may be delayed.

Please return this completed form (along with your Application, if you are opening a new account) to your Administrator (the company that performs record-keeping and administration of your plan on behalf of custodian). This form instructs the Custodian or Trustee of your present IRA to transfer your assets to your self directed account with us. We will arrange for the transfer on your behalf.

Administrator: Entrust Arizona, LLC

1. Personal information

Name *(Your name as it appears in your plan)*_____ **Entrust Account #:**_____

Social security number: *(Required)* _____ **Phone:**_____

Legal Address *(Required)* _____

City, State, Zip _____

2. Current Custodian/Trustee *(Where your funds are currently held)*

Name of Custodian/Trustee _____ **Account number**_____

Office address _____

City, State, Zip _____

Phone number: _____ **Contact name:** _____

3. Type of account to be transferred/eligibility *(Must transfer **to the same type of account** at Entrust)*

I am transferring FROM the following type of plan: *(Check one.)*
☐ Traditional ☐ Roth ☐ Beneficiary IRA ☐ SEP ☐ SIMPLE ☐ ESA ☐ HSA

I am transferring TO the following type of plan: *(Check one.)*
☐ Traditional ☐ Roth ☐ Beneficiary IRA ☐ SEP ☐ SIMPLE ☐ ESA ☐ HSA

I am an eligible person to perform this transaction: *(Select One)* ☐ HSA Account Owner ☐ Responsible individual
☐ IRA holder ☐ Spouse beneficiary of account ☐ Non-spouse beneficiary of account ☐ Ex-Spouse of account due to divorce/legal separation

4. Type of asset to be transferred *(Indicate whether this is **A. COMPLETE Transfer OR B. PARTIAL Transfer.**)*

A. ☐ COMPLETE TRANSFER to my self directed account. Please indicate what you would like to transfer by selecting I. Cash AND/OR II In-Kind Transfer. IMPORTANT: If you need to liquidate investments, please contact the resigning Custodian and inquire about their liquidation/transfer process prior to submitting this completed form. Entrust is not authorized to liquidate assets or investments with the resigning Custodian. (Attach your most recent statements from your prior trustee or custodian and a clear copy of a photo ID).

I. ☐ **Cash.** Send cash to 17 (*Entrust office #*) Entrust Trust (*Name of Deposit Account*) FBO (my name)

II. ☐ **In-Kind Transfer.** Transfer assets IN KIND described below (Private Stock, Real Estate, LLCs, Notes, etc.) to Entrust Arizona, LLC (*Office name*) FBO (my name). The term "in-kind" refers to the re-registration of an investment, etc.

B. ☐ PARTIAL TRANSFER to my self-directed account. Please indicate what you would like transferred by selecting I. Cash, and/or II. In-Kind Transfer IMPORTANT: If you need to liquidate investments, please contact the resigning Custodian and inquire about their liquidation/transfer process prior to submitting this completed form. Entrust is not authorized to liquidate assets or investments with the resigning Custodian. (Attach your most recent statements from your prior trustee or custodian and a clear copy of a photo ID).

I. ☐ **Cash.** Send $_____ in cash to 17 (*Entrust office #*) Entrust Trust (*Name of Deposit Account*) FBO (my name)

II. ☐ **In-Kind Asset Transfer**. Transfer assets IN KIND described below (Private Stock, Real Estate, LLCs, Notes, etc..) to Entrust Arizona, LLC (*Office name*) FBO (my name). The term "in-kind" refers to the re-registration of an investment, etc.

See page two of this form to complete the delivery instructions and asset description

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5. Description of assets to be transferred: *Please attach additional delivery instructions if needed.*

Asset description	Amount

☐ Delivery instructions are attached. ☐ Current statement is attached.

6. Delivery Instructions: *Please attach additional delivery instructions if needed.*

a. How would you like us to send this transfer request to your current resigning Custodian?

Via ☐ Mail ☐ Express Delivery ($30 fee)

b. How would you like your cash sent from your resigning Custodian to Entrust? (Please note: Fees may apply from your resigning custodian).

Via ☐ Mail/Check ☐ Wire/Electronic To 17 Entrust Trust FBO (my name)

Entrust office # *Name of Deposit Account*

c. If you have selected express services above, how would you like to pay for those services?

Via ☐ Check ☐ Credit Card ☐ Other_____

IMPORTANT: If you need to liquidate investments, please contact the resigning Custodian and inquire about their liquidation/transfer process prior to submitting this completed form. Entrust is not authorized to liquidate assets or investments with the resigning Custodian. Fees may apply from your resigning custodian.

7. Signature and Acknowledgement

Signature and Acknowledgement *(This does not constitute a direct rollover.)*

1. I hereby agree to the terms and conditions set forth in this Account Asset Transfer Authorization and acknowledge having established a self-directed account through execution of the Entrust Arizona, LLC *(Name of plan)* account application.
2. I understand the rules and conditions applicable to an Account Transfer
3. I qualify for the account transfer of assets listed in the Asset Liquidation above and authorize such transactions.
4. I understand that no one at Entrust or any of its licensees has authority to agree to anything different than my foregoing understandings of Entrust policy.

Your Signature _____
(Name of account holder)

Date _____

(Medallion Guarantee Stamp)

8. Acceptance of Receiving Custodian

Pursuant to a limited written delegation, Mechanics Bank, as Custodian ("Custodian"), has authorized Entrust Arizona, LLC to sign this form on the Custodian's behalf to verify the Custodian's acceptance of the transfer, rollover or direct rollover described above and agreement to apply the proceeds upon their receipt, to the Account established by Entrust Arizona, LLC, on your behalf. Mechanics Bank ASSUMES NO TRUST OR FIDUCIARY OBLIGATIONS TO YOU AS IT HAS NO INVESTMENT CONTROL OVER YOUR FUNDS AND ACTS ONLY AS A CUSTODIAN OF YOUR FUNDS.

Entrust Arizona, LLC on behalf of Custodian, Mechanics Bank

By _____

Date: _____

Account # _____

FOR OFFICE USE ONLY

Type of Account (check one):

☐ Traditional ☐ Roth ☐ Beneficiary IRA ☐ SEP ☐ SIMPLE ☐ ESA ☐ HSA

Name of Plan_____ Type of Plan _____

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